

# Common Application Form (For Lumpsum and SIP)

Please read product labelling details available on cover page and the instructions before filling up the Application form. Tick (✓) whichever is applicable, strike out whichever is not required.



All sections should be completed in English and in BLOCK LETTERS with blue or black ink only.

Distributor / Broker ARN	Sub-Broker Code	Sub-Broker ARN	EUIN*	LG Code	RIA Code**
<b>ARN - 92245</b>			<b>E092536</b>		

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

\*I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.  
\*\* I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

First / Sole Applicant  
/ Guardian / POA Holder  
/ Authorised Signatory

Second Applicant / POA Holder

Third Applicant / POA Holder

TRANSACTION CHARGES for  
Rs. 10,000 and above (✓ any one)

- ☐ I confirm that I am a first time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)  
☐ I confirm that I am an existing investor across Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

## 1. EXISTING INVESTOR'S FOLIO NUMBER Folio No.

The details in our records under the Folio number mentioned alongside will apply for this application.

## 2. APPLICANT'S INFORMATION (Non-Individual investors please fill Ultimate Beneficial Owner (UBO) details and submit with Application Form.

**SOLE / FIRST APPLICANT'S PERSONAL DETAILS (Please fill in ALPHABETS and use one box for on alphabet, leaving one box blank between two words, as it appears in your PAN Card)** ☐ Mr. ☐ Ms. ☐ M/s. ☐ Minor

Name:	FIRST	MIDDLE	LAST
(Please mention Name as per PAN Card)			
Date of Birth* / Incorporation	PAN / PEKRN	KYC Identification Number (KIN)	GSTIN
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

\* Required for 1st holder/Minor

### Guardian Details ☐ Mr. ☐ Ms. (in case of First / Sole Applicant is a Minor) / Name of Contact Person (incase of non-individual Investors)

Name:	FIRST	MIDDLE	LAST
(Please mention Name as per PAN Card)			
Date of Birth	PAN / PEKRN	KYC Identification Number (KIN)	Mobile No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

For Investment "on behalf of Minor" ☐ Birth Certificate ☐ School Certificate ☐ Passport ☐ Other Relationship with Minor (Mandatory) ☐ Father ☐ Mother ☐ Court Appointed Legal Guardian

Mailing Address			
City	State	Pin Code (Mandatory)	
Country	STD Code	Tel. Off.	

Overseas Address (Mandatory for NRI / FII Applicant)	Country	Zip Code

GO GREEN (Default mode of Communication) → Mobile  E-Mail

Status (Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents

Wherever email ID is registered an electronic Statement of Account (e-SOA) will be shared with the investor. In case you want to receive a physical statement, please request for the same separately

Investors are advised to give their email IDs or that of their family member and not third party so that the important communication from the Fund reaches them directly and in SMS. This will also prevent any unintended consequences that can arise out of providing third party email ids.

Tax Status:	Individual	Non-Individual
<input type="radio"/> Resident <input type="radio"/> NRI-Repatriation <input type="radio"/> NRI-Non Repatriation <input type="radio"/> Sole-Proprietorship <input type="radio"/> Minor	<input type="radio"/> Company <input type="radio"/> Trust <input type="radio"/> Society / Club <input type="radio"/> Partnership / LLP <input type="radio"/> AOP / BOI <input type="radio"/> FPI <input type="radio"/> Non Profit Organisation	
<input type="radio"/> NRI-Minor <input type="radio"/> PIO / OCI <input type="radio"/> HUF <input type="radio"/> Others (Please Specify) _____	<input type="radio"/> Bank <input type="radio"/> Government Body <input type="radio"/> Others (Please Specify) _____	
Occupation: <input type="radio"/> Private Sector Service <input type="radio"/> Public Sector Service <input type="radio"/> Government Service <input type="radio"/> Student <input type="radio"/> Professional <input type="radio"/> Housewife <input type="radio"/> Business <input type="radio"/> Retired <input type="radio"/> Agriculturist <input type="radio"/> Proprietorship		
<input type="radio"/> Defence <input type="radio"/> Others (Please Specify) _____		

Gross Annual Income (₹) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore OR Net worth ₹ \_\_\_\_\_

Politically Exposed Person (PEP) Status : ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

### Second Applicant's Details Mode of Holding (please ✓) ☐ Joint# ☐ Anyone or Survivor (# Default, in case of more than one applicant and not ticked)

Name: <input type="radio"/> Mr. <input type="radio"/> Ms.	FIRST	MIDDLE	LAST
(Please mention Name as per PAN Card)			
Date of Birth	PAN / PEKRN	KYC Identification Number (KIN)	Mobile No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Occupation ☐ Pvt. Sector Service ☐ Pub. Sector Service ☐ Gov. Service ☐ Housewife ☐ Student ☐ Professional ☐ Housewife ☐ Business ☐ Retired ☐ Defence ☐ Agriculturist ☐ Forex Dealer ☐ Others

Gross Annual Income (₹) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore OR Net worth ₹ \_\_\_\_\_

Politically Exposed Person (PEP) Status : ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

### Third Applicant's Details

Name: <input type="radio"/> Mr. <input type="radio"/> Ms.	FIRST	MIDDLE	LAST
(Please mention Name as per PAN Card)			
Date of Birth	PAN / PEKRN	KYC Identification Number (KIN)	Mobile No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Occupation ☐ Pvt. Sector Service ☐ Pub. Sector Service ☐ Gov. Service ☐ Housewife ☐ Student ☐ Professional ☐ Housewife ☐ Business ☐ Retired ☐ Defence ☐ Agriculturist ☐ Forex Dealer ☐ Others

Gross Annual Income (₹) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore OR Net worth ₹ \_\_\_\_\_

Politically Exposed Person (PEP) Status : ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

## 3. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of PoA Holder)

<input type="checkbox"/> First / Sole Applicant <input type="checkbox"/> Second Applicant <input type="checkbox"/> Third Applicant	
<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s. <input type="checkbox"/> Others	Name of PoA Holder
PAN <input type="text"/>	KYC Identification Number (KIN) <input type="text"/>
Enclosed <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof	Signature of PoA Holder

## ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

Application form received for purchase of units, subject to realization, verification and conditions

Mr. / Ms. / M/s.

Instrument No.	Dated	Drawn on Bank	Account No.	Amount (Rs.)	Scheme / Plan / Option	ISC Stamp, Date & Signature

<b>4. INVESTMENT &amp; PAYMENT DETAILS : (Mandatory)</b>			
<b>FOR ZERO BALANCE FOLIO &amp; LUMP SUM : Please fill details below</b>			
<input type="checkbox"/> Zero Balance <input type="checkbox"/> Lumpsum (please fill details below)			
Scheme Name: Baroda BNP Paribas		Amount (₹)	
Cheque No./UMRN:	Bank	Account No.	Payment Mode: <input type="radio"/> Cheque <input type="radio"/> NEFT <input type="radio"/> RTGS <input type="radio"/> OTM
<b>FOR SIP / MULTIPLE SIP : Please fill details below and also fill SIP form</b>			
For Multiple SIP - investment can be made upto four Schemes with a single instrument. Multiple SIP Schemes to be mentioned in the below table and single instrument for the total consolidated amount favouring Baroda BNP Mutual Fund to be provided. Mention First SIP Cheque Details below and in SIP Form.			
Scheme Name	Plan	Option	Amount
1. Baroda BNP Paribas	Direct / Regular		₹
2. Baroda BNP Paribas	Direct / Regular		₹
3. Baroda BNP Paribas	Direct / Regular		₹
4. Baroda BNP Paribas	Direct / Regular		₹
Total Amount (In Words)		Total Amount (In Figures)	
Cheque No./UMRN:	Bank:	Account No.	Payment Mode: <input type="radio"/> Cheque <input type="radio"/> NEFT <input type="radio"/> RTGS <input type="radio"/> OTM
Payment Type : <input type="radio"/> Non-Third Party Payment <input type="radio"/> Third Party Payment (Please attach "Third Party Declaration Form")			

<b>5. DEMAT ACCOUNT DETAILS</b>	
<input type="checkbox"/> National Securities Depository Ltd. <input type="checkbox"/> Central Depository Services (India) Ltd.	Depository Participant Name _____ DP ID No. _____ Beneficiary Account No. _____

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the Application Form. In case the form is not filled, the default option will be physical mode.

<b>6. FIRST HOLDER'S BANK ACCOUNT DETAILS (Mandatory)</b>											
Bank Name _____											
Ac. no. (In Figures)	_____	A/c. Type	<input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR								
Ac. no. (In Words)	_____										
Branch Address _____											
State	_____	City	_____								
Pin Code	_____	_____									
MICR Code	_____ (9 Digit No. next to your Cheque No.)	IFSC Code	_____ (11 Digit No. appearing on Cheque)								
Example for filling the Account No. <table border="1"><tr><td>1</td><td>3</td><td>5</td><td>7</td></tr></table> in words <table border="1"><tr><td>One</td><td>Three</td><td>Five</td><td>Seven</td></tr></table> (Please attach copy of cancelled cheque)				1	3	5	7	One	Three	Five	Seven
1	3	5	7								
One	Three	Five	Seven								

<b>7. FATCA DETAILS For Individual (Mandatory)    Non Individual investors including HUF should Mandatorily fill separate FATCA detail form</b>			
<b>Details under Foreign Tax Laws:</b>	<b>First / Sole Applicant / Guardian</b>	<b>Second Applicant</b>	<input type="radio"/> Third Applicant <input type="radio"/> PoA
Place & Country of Birth	_____	_____	_____
Nationality	<input type="radio"/> Indian <input type="radio"/> US <input type="radio"/> Others (Please Specify) _____	<input type="radio"/> Indian <input type="radio"/> US <input type="radio"/> Others (Please Specify) _____	<input type="radio"/> Indian <input type="radio"/> US <input type="radio"/> Others (Please Specify) _____
Address Type	<input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	<input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	<input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business
<b>Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India?    <input type="checkbox"/> Yes    <input type="checkbox"/> No    (If Yes, please provide information below)</b>			
Country of Tax Residency	_____	_____	_____
Tax Identification Number or Functional Equivalent	_____	_____	_____
Identification Type (TIN or Other, please specify)	_____	_____	_____
If TIN is not available, please tick	Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify) _____	Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify) _____	Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C (Please Specify) _____
<b>Reason A:</b> The country where Account Holder is liable to pay tax does not issue TIN to its residents require the TIN to be collected)		<b>Reason B:</b> No TIN Required (Select this only if the authorities of the respective country of tax residents do not	
<b>Reason C:</b> others, please specify the reason above			

<b>8. NOMINATION - MANDATORY, even if no intention to nominate. Minor &amp; PoA holder cannot nominate and should not fill this section</b>				
1. I/We do not wish to nominate	<b>SIGNATURE(S)</b>	First / Sole Applicant	Second Applicant	Third Applicant
2. Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death.				
	Nominee Name	Relationship	Date of Birth <sup>A</sup>	Allocation % <sup>#</sup>
Nominee 1	_____	_____	_____	_____
Nominee 2	_____	_____	_____	_____
Nominee 3	_____	_____	_____	_____

<sup>A</sup> In case Nominee is minor. <sup>#</sup> Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent.

<b>9. DECLARATION &amp; SIGNATURES</b>			
I / We hereby confirm and declare as under:- I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. My application is in compliance with applicable Indian and foreign laws. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents including the provisions of the section of 'Who cannot Invest' and apply for allotment of Units of the Scheme(s) of Baroda BNP Paribas Mutual Fund ('Fund'). I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds /income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and /or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option. I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year. I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT. I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the Baroda BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund / Trustees promptly of any change in circumstance which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund / Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. <b>To receive physical annual statements and scheme wise abridged report please tick here</b> (✓) <input type="checkbox"/>			
<b>Additional declaration for NRIs only :</b> I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account. <b>Additional declaration for Foreign Nationals Resident in India only:</b> I/We will redeem my / our entire investment/s before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status. <b>Additional declaration for NRIs / PIO / OCIs only:</b> I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.    please (✓) <input type="checkbox"/> Yes <input type="checkbox"/> No    If yes, (✓) <input type="checkbox"/> Repatriation basis <input type="checkbox"/> Non-Repatriation basis			
Dated	First / Sole Applicant / Guardian / POA Holder / Authorised Signatory	Second Applicant / POA Holder	Third Applicant / POA Holder

	<b>BNP Paribas Asset Management India Private Limited</b> Crescenzo, 7th Floor, G-Block, Bandra Kurla Complex, Mumbai – 400051, Maharashtra, India. Email Id- service@barodabnp-paribasmf.in    Board line no.- 022 69209600 • Toll Free no.- 1800 2670 189 Fax no.- 022 69209 460/470    Website URL- www.barodabnp-paribasmf.in CIN no.- U65991MH2003PTC142972		<b>Simply send **SMS to 9212 132763 to avail the below facilities</b> Balance    SMS BAL <space> last 6 digits of Folio No. NAV    SMS NAV <space> last 6 digits of Folio No. Statement thru Email    SMS ESOA <space> last 6 digits of Folio No. Last 3 Transactions    SMS Transaction <space> last 6 digits of Folio No. **SMS charges as per service provider applicable.

